

**Protective Industry Compliance Transparency Attestation
Form Maintained by: Jim McConnell from Ask McConnell, LLC
Last Updated: 07 April 2025**

Legal / Registration Information	
Legal Entity Name:	
Legal Entity Mailing Address:	
Type of Corporation: (LLC, Foreign, PLC, S-Corp, C-Corp, etc)	
Federal Tax ID (USA = EIN)	
D&B Number	
US CAGE Code	
Web Site Address	
Web Site Address for Ethics / Code of Conduct Doc	
Ethics / Whistleblower Contact Information	

Key Personnel		
Role	Full Name	Contact Email
Registered CEO		
Chief Security Officer		
Chief Information Security Officer		
Ethics and Compliance Officer		
Internet Abuse / Bug Bounty Focal Point		
Other _____		

Government Licensing						
State / Jurisdiction	License #	License Type	Date of issuance	Date of Expiration	Number of Covered Employees (W2)	Number of Covered non- employees

Insurance		
Coverage Type	Country Coverage	Amount of Coverage
General Liability		
Cyber Insurance		
Workers Comp		

Third-Party Audits		
Audit Standard	Audit Firm	Date of Report
ISO 27001		
SOC2		
PCI DSS		
HIPAA		
Last Full Network Pen Test		
Last HQ Building Pen Test		
Other _____		

Industry Certifications	
Certifications	Number of employees (not third parties/1099) with CURRENT Certification
CPP	
PCI	
PSP	
CTM	
CISSP	
CFE	
CPR/FA/AED	
TCCC/TECC	
EMR/EMT	
Other	
Other	

Industry Support	
Security / Industry Groups	What Volunteer, Financial, Leadership Support do you and your company provide to these organizations?
ASIS	
ATAP	
BEPP	
IPSB	
ISACA	
ISSA	
ISC2	
SIA	
Project Guardian	
Other	
Other	

Wet Blue Ink Attestation

CEO

Date

Designated Chief Security Officer

Date

Designated Chief Information Security Officer

Date